

#13
9/5/02
LWM
**CONTINUED PROSECUTION APPLICATION (CPA)
REQUEST TRANSMITTAL (Large Entity)**

Submit an original, and a duplicate for fee processing.

Only for Continuation or Divisional Applications Under 37 CFR 1.53(d))

Docket No.

NGM-00201

☒ **DUPLICATE** (Check box if applicable)

First Named Inventor

Examiner

Group/Art Unit

BAYIATES, Edward L.

TRUONG, CAM Y T

2172

Address to:

Assistant Commissioner for Patents

Box CPA

Washington, D.C. 20231

This is a request for filing a ☒ continuation, or ☐ divisional application under 37 CFR 1.53(d), (continued prosecution application (CPA)) of prior application number 09/478,156 filed on January 5, 2000 and entitled:

STORING AND RETRIEVING THE VISUAL FORM OF DATA

1. ☐ Enter the unentered amendment previously filed on _____ under 37 CFR 1.116 in the prior nonprovisional application.
2. ☒ A preliminary amendment is enclosed.
3. ☐ This application is being filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).

a. ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:

08/29/2002 AOSMAN1 00000076 501136 09478156

03 FC:131		740.00 OP
04 FC:102	366.00 CH	306.00 OP
05 FC:103	828.00 CH	

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b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.

4. ☐ A new power of attorney or authorization of agent is enclosed.
5. ☒ An Information Disclosure Statement (IDS) is enclosed:
 - a. ☒ PTO-1449
 - b. ☒ Copies of IDS Citations
6. ☒ The fee for this application is calculated as follows:

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	66	- 20 =	46	x \$18.00	\$828.00
Indep. Claims	11	- 3 =	8	x \$84.00	\$672.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$740.00
TOTAL FILING FEE					\$2,150.00

CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL (Large Entity)
(Only for Continuation or Divisional Applications Under 37 CFR 1.53(d))

7. ☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 501136

- ☒ fees required under 37 C.F.R. 1.16.
- ☒ fees required under 37 C.F.R. 1.17.
- ☒ fees required under 37 C.F.R. 1.18.

8. A check in the amount of _____ is enclosed.

9. ☒ Also enclosed:

Certificate of Express Mailing; Filing Fee check in the amount of \$740; a check for \$306 for extra claims; Amendment Transmittal Letter; Preliminary Amendment; One-month Extension of Time; a check for \$110; Information Disclosure Statement; PTO-1449 Form with copies of references; check in the amount of \$180 (IDS fee); return receipt Post Card.

10. ☒ The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below:

**Patent Group
Hutchins, Wheeler & Dittmar
101 Federal Street
Boston, MA 02110**

CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL (Large Entity)
(Only for Continuation or Divisional Applications Under 37 CFR 1.53(d))

NOTES

Submit an original, and a duplicate for fee processing.

FILING QUALIFICATIONS: The prior application must be a nonprovisional application that is either: (1) complete as defined by 37 C.F.R. 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. A Notice will be placed on a patent issuing from a CPA, except for reissues and designs, to the effect that the patent issued on a CPA and is subject to the twenty-year patent term provisions of 35 USC 154(a)(2). Therefore, the prior application of a CPA may have been filed before, on or after June 8, 1995.

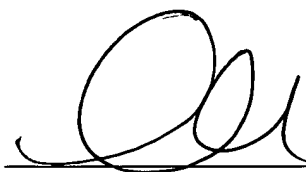
C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 C.F.R. 1.53(d), but must be filed under 37 C.F.R. 1.53(b).

EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 C.F.R. 1.53(b) must be used to file a continuation, divisional or continuation-in-part of an application that is not to be abandoned.

ACCESS TO PRIOR APPLICATION: The filing of this CPA will be construed to include a waiver of confidentiality by the Applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 C.F.R. 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or application in the file jacket.

35 U.S.C. 120 STATEMENT: In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 C.F.R. 1.78(a).

Dated: August 26, 2002



Signature

Anne E. Saturnelli

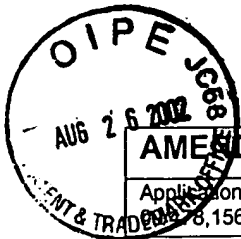
Typed or printed name

41,290

Registration Number (if applicable)

- ☐ Inventor(s)
☐ Assignee of complete interest
☒ Attorney or agent of record

cc:

**AMENDMENT TRANSMITTAL LETTER**Docket Number
NGM-00201Application Number
2000-18,156Filing Date
January 5, 2000Examiner
TRUONG, CAM Y TGroup Art Unit
2172

Invention Title: STORING AND RETRIEVING THE VISUAL FORM OF DATA

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is a Preliminary amendment in the above-identified application, including:

- (X) CPA
(X) Preliminary Amendment
(X) One Month Extension of Time
(X) Information Disclosure Statement and PTO-1449 Form with References
(X) Checks in the Amounts of \$740.00; \$180.00; \$110.00; and \$306
(X) Return Receipt Postcard

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CLAIMS AS AMENDED

	(1)		(2)	(3)		
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT NUMBER EXTRA	RATE	FEE
TOTAL CLAIMS	66	minus	20	46	x \$18	\$ 828.00
INDEPENDENT CLAIMS	11	minus	3	8	x \$84	\$ 672.00
MULTIPLE DEPENDENT CLAIM ADDED					\$280	
					TOTAL	\$ 1,500.00
If applicant has small entity status under 37 CFR 1.9 and 1.27, then divide total fee by 2, and enter amount here.			SMALL ENTITY TOTAL			\$ 0.00

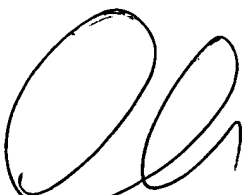
* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

** If the highest number previously paid for IN THIS SPACE is less than 20, enter "20."

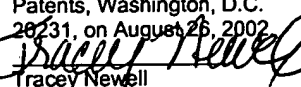
*** If the highest number previously paid for IN THIS SPACE is less than 3, enter "3."

The "highest number previously paid for" (total or independent) is the highest number found in the appropriate box in column 1.

- (X) Please charge **Deposit Account Number 501136** in the amount of \$ 1,194.00. A duplicate copy of this sheet is enclosed.
- (X) A check in the amount of \$ 306.00 to cover the filing fee is enclosed.
- (X) Please credit any overpayment and/or charge any additional filing fees required under 37 CFR §§ 1.16 and 1.17 to our **Deposit Account Number 501136**.


Anne E. Saturnelli, Reg. No. 41,290Date: August 26, 2002

I hereby certify that this correspondence is being deposited with the United States Postal Service Express Mailing No. EL948016962US in an envelope addressed to: Box CPA, Commissioner for Patents, Washington, D.C. 20531, on August 26, 2002.


Tracey Newell

(10-95)

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE



08-28-02

Box CPA
2700

In Re Application of:
NuGenesis Technologies Corporation

NGM-00201

Titled: STORING AND RETRIEVING THE VISUAL FORM OF DATA

Application No. 09/478,156

Filed January 5, 2000

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CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to: Box CPA, Commissioner for Patents, Box CPA, Washington, D.C. 20231, on August 26, 2002.

Tracey A. Newell

Express Mail No.: EL948016962US